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PHILADELPHIA INSURANCE COMPANIES
A Member of the Tokio Marine Group

One Bala Plaza, Suite 100
Bala Cynwyd, PA 19004

ELDERLY RESIDENTIAL CARE SUPPLEMENTAL APPLICATION

Applicant Name: _____
E-mail Address: _____ Website Address: _____
☐ For-Profit ☐ Non-Profit
Annual Budget: $_____ Annual Payroll: $_____
SIC code: _____ FEIN: _____
Year business established: _____ Years under present management: _____
Name of executive director / administrator: _____
Number of years at facility: _____
Risk Management Contact: _____ Risk Management's Phone: _____
Risk Management Email: _____

REQUIREMENTS FOR SUBMISSION

- Completed ACORD applications
- Copy of the current license
- Copy of facility evaluation
- Currently valued company loss runs for this policy period plus three year's prior

SECTION I – GENERAL INFORMATION

1. Are ALL operated residential facilities licensed? ☐ Yes ☐ No
2. Has Applicant's license ever been suspended, revoked, or placed under conditional status? ☐ Yes ☐ No
3. Date of last state inspection: _____
   Any inspection violations/deficiencies noted? ☐ Yes ☐ No
   Provide date compliance completed: _____
4. Have there been any claims that allege negligence or failure to comply with any regulatory / license guideline? ☐ Yes ☐ No
   If yes, please explain on a separate sheet of paper.
5. Any mergers or operations under another name within the past five (5) years? ☐ Yes ☐ No
6. Primary funding source: ☐ Private ☐ SSI/SSP ☐ Other: _____
7. Does the Applicant operate any locations not included in this application? ☐ Yes ☐ No
   If yes, describe:

_____

8. List all association memberships or affiliations:

_____

SECTION II – MANAGEMENT PRACTICES

1. Does the Applicant have sign in/out procedures for:
   Staff? ☐ Yes ☐ No
   Clients / Residents? ☐ Yes ☐ No
   Visitors / Public? ☐ Yes ☐ No
2. Describe precautions utilized to prevent unauthorized access to facility(s):

_____

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SECTION III – RESIDENTIAL FACILITIES

(photocopy this section for each additional liability)

1. Number of beds:_____ Average occupancy:_____ Average length of stay: _____
2. Are residents screened by a physician prior to admission? ☐Yes ☐No
   If no, please explain on a separate sheet of paper.
3. Conditions of residents - provide number of clients for each category that applies:
   Alzheimer's/Dementia: _____ Blind: _____ Non-Ambulatory: _____
   Traumatic Brain Injury: _____ Deaf: _____ 24-hr constant care required: _____
4. Is specific training provided for all staff caring for these ailments? ☐Yes ☐No
5. Does the Applicant train staff to recognize the need for increased level of care and have procedures in place for properly reassigning clients to more suitable facilities? ☐Yes ☐No
6. Resident elopement/unauthorized leave prevention: Check all that apply.
   ☐Exit doors locked to residents ☐ Wristband sensor w/ alarm ☐Exit doors alarmed to residents
7. How often are residents checked or monitored to ensure that they are at facility or have returned to facility? _____
8. Are security cameras included in monitoring of residents? ☐Yes ☐No

SECTION IV – PROFESSIONAL LIABILITY / STAFF

1. Does the Applicant create written job descriptions for each employee and share with staff? ☐ Yes ☐No
2. Does the Applicant train and require all staff to report all incidents to management? ☐ Yes ☐No
   Is a written record of all incidents kept? ☐ Yes ☐No
   Does management investigate each incident and record findings in writing? ☐ Yes ☐No
3. Does the Applicant's current insurance program include professional liability? ☐ Yes ☐No
   If yes, is it: ☐ Occurrence or ☐ Claims Made – Retro Date: _____ Limit: $_____
   Carrier:_____ Effective Date:_____
4. What is the staff turnover ratio for the last twelve (12) months?_____
5. Annual Staffing – Employees, Independent Contractors and Volunteers
   Total number of: Full time employees:_____ Part Time Employees:_____ Volunteers:_____

Staffing	# of Employees		# of Contracted		Total Annual Volunteer Hours Worked
	FT	PT	FT	PT	
Psychologist					
Medical Director (Admin Only)					
Nurse Practitioner					
Physician Assistant					
Pharmacist					
Paramedic EMT					
Psychiatrist					
Physician-Hospice					
Pediatrician					
Physician-No Surgery					
Dentist					
Optometrists/Ophthalmologist					
Licensed Social Worker					
Sociologist					
Registered Nurse (RN)					
Licensed Practical Nurse (LPN)					
Physical Therapist					
Optician					
Orthotics & Prosthetics (O&P) Certified Practitioner					
Counselor (Guidance, Vocational)					
Social Worker					
Occupational Therapist					
Speech Therapist					

Clergy / Rabbi / Pastor					
O&P Certified Technician					
Teacher					
Nutritionist / Dietician					
Residential Manager					
Home Health Aide					
Day Care Worker					
O&P Certified Fitter					
O&P Certified Assistant					
Adoptions					
Foster Care					
*Other (describe): _____					
*Other (describe): _____					

F/T = Full Time – over 20 hours per week/ P/T = Part Time – up to 20 hours per week.
*Please describe "other" staff positions not listed in the above chart in the provided area.

6. **If the Applicant is requesting primary medical professional coverage for any of above noted Physicians, Psychiatrists, Dentists or Opticians, the Applicant must submit a completed and signed Medical Professional application. Coverage for such professional is subject to Underwriting review and approval.**
7. **If the above noted employed or volunteer Physicians, Psychiatrists, Dentists or Opticians carry their own medical malpractice insurance, we may provide vicarious medical professional coverage for the entity as respects to the professional services rendered on the insured's behalf. Coverage for the entity will require the following: The Professional's name, medical license number, medical specialty and proof that the professional carries adequate limits of insurance (at least $1million limit of liability). Proof of insurance may be satisfied by submitting a copy of the professional's declaration page and/or certificate of insurance.**

SECTION V - CONSULTANTS/INDEPENDENT CONTRACTORS

1. Please indicate which of the following contracted service providers are utilized:
   ☐ Dentist ☐ Nurse Practitioner ☐ Physicians ☐ Other:_____
   ☐ Home Health Aides ☐ Optometrist ☐ Psychiatrist
2. Are there written agreements with independent contractors? ☐ Yes ☐ No
3. Are certificates of malpractice/liability insurance obtained and maintained for all contracted service providers (independent contractors)? ☐ Yes ☐ No
4. Please indicate the limits of liability: $_____

SECTION VI – LIFE SAFETY

Do all the Applicant's facilities (buildings) have the following life safety features?

1. Fire alarms? ☐ Yes ☐ No
2. Smoke detectors: Hardwired ☐ Yes ☐ No Battery operated ☐ Yes ☐ No
3. Emergency lighting? ☐ Yes ☐ No
4. Ceiling sprinklers? ☐ Yes ☐ No
5. Are all areas of buildings with wet pipe sprinkler systems (hidden or unhidden) maintained at a minimum temperature of 40° F, and / or provided with proper insulation or heat tracing to prevent pipe freeze-ups? ☐ Yes ☐ No
6. Are evacuation routes posted throughout the building? ☐ Yes ☐ No
7. In the event of an evacuation, has the Applicant established a central meeting point outside the building? ☐ Yes ☐ No
8. Are exit signs illuminated? ☐ Yes ☐ No
9. How often are the fire drills held?_____
10. Are there at least two exit doors per building? ☐ Yes ☐ No
11. Are exit doors equipped with panic hardware? ☐ Yes ☐ No
12. Is smoking permitted inside the premises? ☐ Yes ☐ No
13. Are any non-ambulatory residents located above the 1st floor? ☐ Yes ☐ No
    If yes, provide number of residents and which floor they reside on._____
14. Does the property have aluminum wiring? ☐ Yes ☐ No
    If yes, has it been retrofitted with one of the following PHLY approved connectors by a licensed Electrician? (indicate which one): COPALUM? ☐ Yes ☐ No AlumiConn? ☐ Yes ☐ No

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SECTION VII – ABUSE & MOLESTATION ☐N/A

1. Does the Applicant's current insurance program include Abuse and Molestation Coverage? ☐ Yes ☐ No
   If yes, ☐ Occurrence or ☐ Claims Made – Retro Date:_____ Limit of Liability: $_____
   Carrier:_____ Effective Date:_____
2. Does the Applicant's employment process include verification of whether the individual has ever been convicted of any crime, including sex related or child-abuse related offenses, before an offer of employment is made? ☐ Yes ☐ No
3. Does the Applicant have a written crisis plan in place for dealing with employees, victims, parents, authorities, and the media if the Applicant has incident of abuse? ☐ Yes ☐ No
4. Are there written complaint procedures and are they displayed prominently? ☐ Yes ☐ No
   If yes, explain:_____
5. Is there a written supervision plan that monitors staff in day-to-day relationships with clients, both on and off premises? ☐ Yes ☐ No
6. Are formal written procedures in place for hiring? ☐ Yes ☐ No
7. Do volunteers work directly with clients? ☐ Yes ☐ No
8. Is there formal staff training on child/sexual abuse, including how to recognize the signs? ☐ Yes ☐ No
9. What procedures are in place to make sure no relationship occurs between staff and clients?_____
10. Are there procedures prohibiting closed door one-on-one meetings / counseling? ☐ Yes ☐ No
11. Is there more than one person responsible for the welfare of any single patient? ☐ Yes ☐ No
12. Have any incidents resulted in an allegation of sexual abuse? ☐ Yes ☐ No
    Was the case settled? ☐ Yes ☐ No Was the case taken to trial? ☐ Yes ☐ No
    Amount paid for damages to the victim: $_____
13. Does the Applicant run criminal background checks on employees? ☐ Yes ☐ No
14. Does the Applicant run criminal background checks on volunteers? ☐ Yes ☐ No

SECTION VIII - AUTOMOBILE ☐ N/A

1. Are all vehicles listed on the ACORD application registered to the applicant? ☐ Yes ☐ No
   If no, explain:_____
2. Are vehicles for more than 8 passengers equipped with an audible backup warning service? ☐ Yes ☐ No
3. How many drivers use personal vehicles for business? Volunteers:_____ F/T*:_____ P/T**:_____
   *F/T = Full Time – over 20 hours per week / **P/T = Part Time – up to 20 hours per week
4. Does the Applicant require employees and volunteers to carry and show evidence of personal insurance if they use their personal vehicle in the business? ☐ Yes ☐ No
5. What limits are required? $_____
6. Does the Applicant run MVRs on employees? ☐ Yes ☐ No If yes, how often?_____
7. Does the Applicant have a driver safety training program? ☐ Yes ☐ No
8. Are all drivers at least 21 years of age? ☐ Yes ☐ No
9. Do any drivers between the ages of 21 and 25 operate vehicles with eight (8) passenger seating capacity or greater? ☐ Yes ☐ No
10. Does the Applicant have a formal vehicle maintenance program in effect? ☐ Yes ☐ No
11. Does the Applicant transport clients? ☐ Yes ☐ No
    a. Is training provided for new employees and/or volunteers prior to their transporting clients? ☐ Yes ☐ No
    b. Are vehicles checked after passengers disembark to make sure no one is left behind? ☐ Yes ☐ No
    c. Do vehicles equipped for wheelchairs have tie-down belts to stabilize the wheelchair and passenger? ☐ Yes ☐ No
    d. Does the Applicant require seat belts to be worn by all passengers? ☐ Yes ☐ No
12. Does the Applicant transport clients/consumers for other private or government agencies? ☐ Yes ☐ No
    If yes, please explain:_____
    If yes, for a fee? ☐ Yes ☐ No
13. Does the Applicant's organization utilize GPS fleet telematics devices? ☐ Yes ☐ No
    If yes, please check off the fleet telematics being utilized:
    ☐ Plug in ☐ Hard wired ☐ Mobile Phone ☐ Other:_____
14. What percentage of the Applicant's fleet is provided with these fleet telematics devices?_____%

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SECTION IX – CLAIMS MADE

Notice: This section is being completed as an application for a Claims-Made policy. Only claims which are first made against the Applicant and reported to us during the policy period or Extended Reporting Period will be covered, subject to policy provisions. Various provisions in the policy restrict coverage. Read the entire policy carefully to determine the Applicant's rights, duties and what is and is not covered.

☐ N/A (Please proceed to signature section)

Policy Effective Date:_____

Line of Business:_____

1. Within the past 5 (five) years has the Applicant given written notice under the provisions of any current or prior policy providing similar insurance of any claim or of any specific facts or circumstances which might give rise to a claim being made against the Applicant? ☐ Yes ☐ No
   If yes, please provide details:

2. With respect to the coverages applied for, upon inquiry of any of person qualifying as a Named Insured under the proposed policy, are there any facts, circumstances, or situations which might give rise to a claim under the coverage(s) for which the Applicant is applying? ☐ Yes ☐ No
   If yes, please provide details:

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SECTION X - WINTER WEATHER FREEZE-UP PROTECTION

This section must be completed by all risks that have a location in one of the following states: AR, CT, DC, DE, GA, IL, IN, KY, ME, MD, MA, MI, MO, NH, NY, NJ, NC, OH, PA, RI, SC, TN, TX, VT, VA, WV, WI

1. Fire Protection and Testing
   a. Is the building provided with an Automatic Fire Sprinkler System (AS)? ☐Yes ☐No ☐N/A
      i. If yes, approximately what percentage (%) of the building is sprinklered?_____%
      ii. If yes, what type of sprinkler system is installed? ☐Wet-Pipe ☐Dry-Pipe ☐Both
      iii. If yes, when possible, is the sprinkler piping primarily run within conditioned areas designed to ensure the temperature remains above the 45°F minimum temperature? ☐Yes ☐No ☐N/A
         1. If no, please describe freeze prevention measures (e.g. temperature monitoring, heat trace, full insulation on piping or roof):

      iv. If yes, is the testing & inspection by qualified sprinkler contractor completed within past 12 months & includes a formal winterization review? ☐Yes ☐No ☐N/A
      v. If yes, are the alarms tied to a 24 hour UL listed monitoring company? ☐Yes ☐No ☐N/A
2. Emergency Water Response (domestic and AS water lines)
   a. Are water shutoff valves (domestic and AS water lines) marked and readily accessible? ☐Yes ☐No ☐N/A
   b. Are water shutoff valves exercised (closed and reopened) at least annually? ☐Yes ☐No ☐N/A
   c. Is the staff qualified to respond and shut off the water main during normal business hours and off hours? ☐Yes ☐No ☐N/A
3. Automatic Water Shutoff Devices
   a. For domestic water lines, is there a water flow detection, notification and automatic shutoff? ☐Yes ☐No ☐N/A
4. Unused/Vacant Spaces
   a. Does Applicant have a formal process to turn off and drain domestic water lines for these spaces? ☐Yes ☐No ☐N/A
5. Unheated Areas (attics, crawl spaces, exterior wall joists)
   a. Are all domestic water lines located in areas heated to at least 45°F? ☐Yes ☐No ☐N/A
      i. If no, please describe freeze prevention measures (e.g. temperature monitoring, heat trace, full insulation):

6. General Comments:

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FRAUD STATEMENT AND SIGNATURE SECTIONS

The Undersigned states that he/she is an authorized representative of the Applicant and declares to the best of his/her knowledge and belief and after reasonable inquiry, that the statements set forth in this Application (and any attachments submitted with this Application) are true and complete and may be relied upon by Company * in quoting and issuing the policy. If any of the information in this Application changes prior to the effective date of the policy, the Applicant will notify the Company of such changes and the Company may modify or withdraw the quote or binder.

The signing of this Application does not bind the Company to offer, or the Applicant to purchase the policy.
***Company refers collectively to Philadelphia Indemnity Insurance Company and Tokio Marine Specialty Insurance Company**

VIRGINIA APPLICANT: READ YOUR POLICY. THE POLICY OF INSURANCE FOR WHICH THIS APPLICATION IS BEING MADE, IF ISSUED, MAY BE CANCELLED WITHOUT CAUSE AT THE OPTION OF THE INSURER AT ANY TIME IN THE FIRST 60 DAYS DURING WHICH IT IS IN EFFECT AND AT ANY TIME THEREAFTER FOR REASONS STATED IN THE POLICY.

FRAUD NOTICE STATEMENTS

ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS THAT PERSON TO CRIMINAL AND CIVIL PENALTIES (IN OREGON, THE AFOREMENTIONED ACTIONS MAY CONSTITUTE A FRAUDULENT INSURANCE ACT WHICH MAY BE A CRIME AND MAY SUBJECT THE PERSON TO PENALTIES). (IN NEW YORK, THE CIVIL PENALTY IS NOT TO EXCEED FIVE THOUSAND DOLLARS ($5,000) AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION). **(NOT APPLICABLE IN AL, AR, AZ, CO, DC, FL, KS, LA, ME, MD, MN, NM, OK, PA, RI, TN, VA, VT, WA AND WV).**

APPLICABLE IN AL, AR, AZ, DC, LA, MD, NM, RI AND WV: ANY PERSON WHO KNOWINGLY (OR WILLFULLY IN MD) PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR WHO KNOWINGLY (OR WILLFULLY IN MD) PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES OR CONFINEMENT IN PRISON.

APPLICABLE IN COLORADO: IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, DENIAL OF INSURANCE AND CIVIL DAMAGES. ANY INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICYHOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICYHOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE COLORADO DIVISION OF INSURANCE WITHIN THE DEPARTMENT OF REGULATORY AGENCIES.

APPLICABLE IN FLORIDA AND OKLAHOMA: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION CONTAINING ANY FALSE, INCOMPLETE, OR MISLEADING INFORMATION IS GUILTY OF A FELONY (IN FL, A PERSON IS GUILTY OF A FELONY OF THE THIRD DEGREE).

APPLICABLE IN KANSAS: AN ACT COMMITTED BY ANY PERSON WHO, KNOWINGLY AND WITH INTENT TO DEFRAUD, PRESENTS, CAUSES TO BE PRESENTED OR PREPARES WITH KNOWLEDGE OR BELIEF THAT IT WILL BE PRESENTED TO OR BY AN INSURER, PURPORTED INSURER, BROKER OR ANY AGENT THEREOF, ANY WRITTEN, ELECTRONIC, ELECTRONIC IMPULSE, FACSIMILE, MAGNETIC, ORAL, OR TELEPHONIC COMMUNICATION OR STATEMENT AS PART OF, OR IN SUPPORT OF, AN APPLICATION FOR THE ISSUANCE OF, OR THE RATING OF AN INSURANCE POLICY FOR PERSONAL OR COMMERCIAL INSURANCE, OR A CLAIM FOR PAYMENT OR OTHER BENEFIT PURSUANT TO AN INSURANCE POLICY FOR COMMERCIAL OR PERSONAL INSURANCE WHICH SUCH PERSON KNOWS TO CONTAIN MATERIALLY FALSE INFORMATION CONCERNING ANY FACT MATERIAL THERETO; OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO.

APPLICABLE IN KENTUCKY: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSONS FILES AN APPLICATION FOR INSURANCE CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME.

APPLICABLE IN MAINE, TENNESSEE, VIRGINIA AND WASHINGTON: IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS.

APPLICABLE IN PENNSYLVANIA: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

APPLICABLE IN NEW YORK: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SHALL BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATE VALUE OF THE CLAIM FOR EACH SUCH VIOLATION.

NAME (PLEASE PRINT/TYPE)

TITLE
(MUST BE SIGNED BY THE PRESIDENT, CHAIRMAN, CEO OR EXECUTIVE DIRECTOR)

SIGNATURE

DATE

SECTION TO BE COMPLETED BY THE PRODUCER/BROKER/AGENT

PRODUCER
(If this is a Florida Risk, Producer means Florida Licensed Agent)

AGENCY

PRODUCER LICENSE NUMBER
(If this a Florida Risk, Producer means Florida Licensed Agent)

ADDRESS (STREET, CITY, STATE, ZIP)

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PHILADELPHIA INSURANCE COMPANIES
A Member of the Tokio Marine Group

One Bala Plaza, Suite 100
Bala Cynwyd, PA 19004

Underwritten by:
Philadelphia Indemnity Insurance Company

CYBER SECURITY LIABILITY ENDORSEMENT – SUPPLEMENTAL QUESTIONNAIRE

Name of Applicant:_____
Address of Applicant:_____
City:_____ State:_____ Zip:_____
Website: www:_____
Nature of Operations:_____

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1. Annual sales or revenue: $_____

2. Does the Applicant collect, store or otherwise handle any Personally Identifiable Information (PII) belonging to customers, clients, or other third parties, other than employees? ☐ Yes ☐ No
   If yes, please indicate the types of Personally Identifiable Information held (check all that apply):
   - ☐ a. Social Security Numbers, Bank or Other Financial Account Details, Driver's License or other State Identification Numbers
   - ☐ b. Non-public Medical or Healthcare Data, including Protected Health Information (PHI)
   - ☐ c. Credit or Debit Card Information

3. a. During the last three (3) years, has anyone alleged that the Applicant was responsible for damage to their computer system(s) arising out of the operation of the Applicant's computer system(s)? ☐ Yes ☐ No
   b. During the last three (3) years, has anyone made a demand, claim, complaint, or filed a lawsuit against the Applicant alleging invasion or interference of rights of privacy or the inappropriate disclosure of Personally Identifiable Information (PII)? ☐ Yes ☐ No
   c. During the last three (3) years, has the Applicant been the subject of an investigation or action by any regulatory or administrative agency for privacy-related violations? ☐ Yes ☐ No
   d. Is the Applicant aware of any circumstance that could reasonably be anticipated to result in a claim being made against them for the coverage being applied for? ☐ Yes ☐ No

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APPLICABLE IN KANSAS: AN ACT COMMITTED BY ANY PERSON WHO, KNOWINGLY AND WITH INTENT TO DEFRAUD, PRESENTS, CAUSES TO BE PRESENTED OR PREPARES WITH KNOWLEDGE OR BELIEF THAT IT WILL BE PRESENTED TO OR BY AN INSURER, PURPORTED INSURER, BROKER OR ANY AGENT THEREOF, ANY WRITTEN, ELECTRONIC, ELECTRONIC IMPULSE, FACSIMILE, MAGNETIC, ORAL, OR TELEPHONIC COMMUNICATION OR STATEMENT AS PART OF, OR IN SUPPORT OF, AN APPLICATION FOR THE ISSUANCE OF, OR THE RATING OF AN INSURANCE POLICY FOR PERSONAL OR COMMERCIAL INSURANCE, OR A CLAIM FOR PAYMENT OR OTHER BENEFIT PURSUANT TO AN INSURANCE POLICY FOR COMMERCIAL OR PERSONAL INSURANCE WHICH SUCH PERSON KNOWS TO CONTAIN MATERIALLY FALSE INFORMATION CONCERNING ANY FACT MATERIAL THERETO; OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO.

APPLICABLE IN KENTUCKY: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSONS FILES AN APPLICATION FOR INSURANCE CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME.

APPLICABLE IN MAINE, TENNESSEE, VIRGINIA AND WASHINGTON: IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS.

APPLICABLE IN PENNSYLVANIA: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES.

APPLICABLE IN NEW YORK: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SHALL BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATE VALUE OF THE CLAIM FOR EACH SUCH VIOLATION.

NAME (PLEASE PRINT/TYPE)

TITLE
(MUST BE SIGNED BY THE PRESIDENT, CHAIRMAN, CEO OR EXECUTIVE DIRECTOR)

SIGNATURE

DATE

SECTION TO BE COMPLETED BY THE PRODUCER/BROKER/AGENT

PRODUCER
(If this is a Florida Risk, Producer means Florida Licensed Agent)

AGENCY

PRODUCER LICENSE NUMBER
(If this a Florida Risk, Producer means Florida Licensed Agent)

ADDRESS (STREET, CITY, STATE, ZIP)